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PTO/SB/21 (08-00)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/455,805	
	Filing Date	12/07/1999	
	First Named Inventor	Susan D. Woolf et al.	
	Group Art Unit	2176	
	Examiner Name	Nguyen, M.	
Total Number of Pages in This Submission		Attorney Docket Number	003797.78802

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ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  4 PTO 1449 Forms; 59 U.S. patents; 1 published application; 3 foreign patent documents; 7 non-patent documents; Search Report for PCT/US 01/19919 on October 5, 2001; and Search Report for PCT/US 00/33082 on February 15, 2001		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary D. Fedorochko, Reg. No. 35,509
Signature	
Date	June 23, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
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**PATENT APPLICATION****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Application of:	)	Group Art Unit: 2176
	)	
Susan D. Woolf, et al.	)	Examiner: Nguyen, M.
	)	
Serial Number: 09/455,805	)	Attorney Docket No. 003797.78802
	)	
Filed: December 7, 1999	)	Confirmation No. 5591

For: SYSTEM AND METHOD FOR ANNOTATING AN ELECTRONIC  
DOCUMENT INDEPENDENTLY OF ITS CONTENT

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed are PTO Forms 1449 listing art for consideration by the Examiner and a copy of each of the identified documents.

The references contained in this information disclosure statement were cited in Information Disclosure Statements filed in the U.S. Patent and Trademark Office by Applicants in related applications U.S. Serial Nos. 09/768,813; 09/457,109; 09/456,127; 09/556,865; 09/456,975; 09/456,249; 09/603,781; 09/605,878; 09/604,810; 09/456,952; 09/455,807; 09/455,754; 09/455,806; and 09/455,808.

Three of the documents (U.S. Patent Nos. 5,920,694 and 5,986,665 and Patent Abstracts of Japan, Publication No. 11327789) were cited by the International Searching Authority for corresponding International Application No. PCT/US 01/19919 on October 5, 2001. A copy of this search report is also enclosed.

**Information Disclosure Statement**

Serial No. 09/455,805

Page 2

Three of the documents (WO 97 22109A, the Schilit and Price article, and the Graefe article) were cited by the International Searching Authority for corresponding International Application Nos. PCT/US 00/33129 on February 14, 2001 and PCT/US 00/33082 on February 15, 2001. The three references, along with the search report for International Application No. PCT/US 00/33129, have already been made of record in the above-identified application. We are merely submitting a copy of the search report for PCT/US 00/33082.

Consideration of all information is respectfully requested.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

The accompanying Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits. Therefore, authorization is given to charge Deposit Account No. 19-0733 for the \$180.00 fee. In the event there is a discrepancy with the fee, please charge or credit Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

**Information Disclosure Statement**

Serial No. 09/455,805

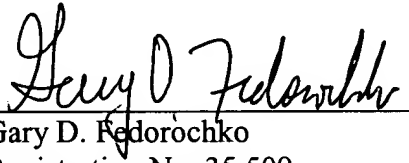
Page 3

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed PTO Forms 1449 in the appropriate place to indicate that the documents have been considered, and return a copy of the initialed forms to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

Date: June 23, 2003

By:

A handwritten signature in black ink, appearing to read "Gary D. Fedorochko", written over a horizontal line.

Gary D. Fedorochko

Registration No. 35,509

Banner & Witcoff, LTD  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 824-3000

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

4

**Complete if Known**

Application Number	09/455,805
Filing Date	12/07/1999
First Named Inventor	Susan D. Woolf et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.78802

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 5,434,965	07/18/1995	Matheny et al.	
		US- 5,572,643	11/05/1996	Judson	
		US- 5,632,022	05/20/1997	Warren et al.	
		US- 5,682,439	10/28/1997	Beernink et al.	
		US- 5,687,331	11/11/1997	Volk et al.	
		US- 5,717,879	02/10/1998	Moran et al.	
		US- 5,729,687	03/17/1998	Rothrock et al.	
		US- 6,181,344	01/30/01	Tarpenning et al.	
		US- 6,094,197	07/25/00	Buxton et al.	
		US- 5,745,116	04/28/1998	Pisutha-Arnold	
		US- 6,331,867	12/18/2001	Eberhard et al.	
		US- 6,356,287	03/12/2002	Ruberry et al.	
		US- 5,790,818	08/04/1998	Martin	
		US- 5,821,925	10/13/1998	Carey et al.	
		US- 5,948,040	09/07/1999	DeLorme et al.	
		US- 5,950,214	09/07/1999	Rivette et al.	
		US 5,877,757	03/02/1999	Baldwin et al.	
		US 5,978,818	11/02/1999	Lin	
		US 6,321,244 B1	11/20/2001	Liu et al.	
		US 6,405,221 B1	06/11/2002	Levine et al.	
		US 5,931,912	08/03/1999	Wu et al.	

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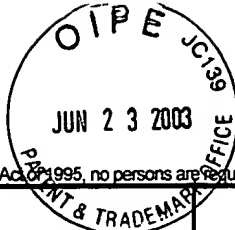
**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 96/20908	07/11/1996	Mikitenko et al.		
		WO 98/06054	02/12/1998	Huffman et al.		
		WO 99/49383	09/30/1999	Ruberry et al.		

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Sheet 3 of 4

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		Number - Kind Code <sup>2</sup> (if known)			
		US 5,801,687	09/01/1998	Peterson et al.	
		US 6,157,381	12/05/00	Bates et al.	
		US 6,035,330	03/07/2000	Astiz et al.	
		US 5,918,236	06/29/1999	Wical	
		US 6,049,812	04/11/2000	Bertram et al.	
		US 5,893,126	04/06/1999	Drews et al.	
		US 6,460,058 B2	10/01/2002	Koppolu et al.	
		US 6,018,334	01/25/2000	Eckerberg et al.	
		US 5,986,665	11/16/1999	Wrey et al.	
		US 5,920,694	07/06/1999	Carleton et al.	
		US 6,289,362	09/11/2001	Van Der Meer	
		US 6,018,742	01/25/2000	Herbert, III	
		US 6,054,990	04/25/2000	Tran	
		US 5,737,599	04/07/1998	Rowe et al.	
		US 5,832,263	11/03/1998	Hansen et al.	
		US 6,195,679 B1	02/27/2001	Bauersfeld et al.	
		US 2002/0097261 A1	07/25/2002	Gottfurcht et al.	

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Technology Center 2100**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.78802

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110	
		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
		Open eBook Forum, Open eBook™ Publication Structure 1.0, <a href="http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm">http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm</a>	
		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	
		Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
		Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999	
		Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	

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